



UNITED STATES MARINE CORPS

III MARINE EXPEDITIONARY FORCE
UNIT 35601
FPO AP 96606-5601

ForO 5710.1

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08 AUG 2003

FORCE ORDER 5710.1

From: Commanding General
To: Distribution List

Subj: III MEF THEATER SECURITY COOPERATION (TSC) PROGRAM

Ref: (a) Title 10 United States Code - Armed Forces
(b) Title 22 United States Code - Foreign Relations and Intercourse
(c) MCO 4900.3A, Marine Corps Security Assistance and Related International Programs
(d) DODI 4000.19, Interservice and Intragovernmental Support
(e) CDRUSPACOM CONPLAN
(f) MCO 5710, Policy for Marine Corps International Relations (MCIR) Program
(g) MARFORPAC Funding Smartbook

Encl: (1) TSC Administrative Schedule
(2) TSC Funding
(3) Legal Issues
(4) TSC Event Request Form (TERF)
(5) Transition Plan: TSC Event Generation and Processing

1. Purpose. This order defines the III Marine Expeditionary Force III (MEF) TSC Program and establishes the policies, objectives, and processes associated with the planning, programming, budgeting, and implementation of TSC activities. References (a) through (g) are the guiding directives for the III MEF TSC Program. This order facilitates III MEF execution of events that support U.S. Pacific Command (USPACOM), Marine Forces Pacific (MARFORPAC) service-level, and the III MEF Commanding General's (CG's) TSC goals and objectives. It establishes the requirement for a III MEF TSC Plan and country chapters (published in Force Bulletin 5700 series) for each country with which III MEF engages in the USPACOM area of responsibility (AOR). This order also establishes a requirement for a III MEF TSC website that contains all necessary tools and references required for staff and major subordinate commands (MSC) to plan, process, fund, and execute III MEF TSC events.

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2. Situation

a. Higher Headquarters. The Chairman of the Joint Chiefs of Staff (CJCS) tasks the combatant commanders, through the Joint Strategic Capabilities Plan (JSCP), to develop TSC strategies. The combatant commanders, in turn, rely on their service component commanders to contribute to the combatant commander's strategy and, ultimately, to execute TSC events in support of stated goals and objectives. In addition, all OPLANs must include a TSC plan. The Marine Corps provides guidelines for international activities to Marine Forces through the Marine Corps International Relations (MCIR) program. This order establishes III MEF policy in order to assist the III MEF MSCs in maximizing their overall contributions to III MEF and higher headquarters TSC goals and objectives in accordance with guidance from Headquarters Marine Corps.

b. Background. The TSC program was previously titled the Theater Engagement Plan (TEP). The TSC program encompasses all III MEF interactions with regional organizations, foreign governments, peoples, and militaries in the USPACOM AOR. Reference (a) outlines responsibilities related to military-to-military contacts and comparable activities that are designed to encourage a democratic orientation of defense establishments and military forces of other countries. Reference (b) outlines responsibilities related to military education and training, sales, and peacekeeping operations designed to strengthen the security of the U.S. and assist in the execution of its international foreign policy. TSC plays a central role in shaping the international security environment, responding to international crises, and preparing III MEF forces and materiel, and other friendly forces for future conflicts. TSC initiatives provide a means through which III MEF exerts influence, secures commitments, strengthens relationships, builds coalitions, promotes support of U.S. foreign policy and national security objectives, meets U.S. force requirements for foreign contingencies, pursues multinational force compatibility, shapes the AOR in support of OPLANs, and gains access to facilities as well as innovative technologies of other countries.

3. Mission. III MEF plans and conducts TSC initiatives in the Pacific AOR in order to support USPACOM, MARFORPAC, and Commanding General, III MEF, TSC end states and objectives. III MEF will also support MCIR activities as determined by the Commandant of the Marine Corps (CMC).

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4. Execution

a. Commander's Intent. In accordance with this order, III MEF will establish and maintain a synchronized TSC program which supports the USPACOM, MARFORPAC, and III MEF TSC end states and objectives. The enclosures will provide short and mid-range planning guidance to III MEF staff agencies and supporting establishments on the integration of their MCIR activities with the MEF and Combatant Commanders' strategies. This plan will provide a mechanism for educating and assisting users in TSC subjects as well as for efficiently planning and resourcing TSC events according to the priorities set forth in Force Bulletin 5700 series. The end state is a system in which well informed MSCs and staff sections conduct TSC event planning and resourcing 2-5 years in advance to support approved TSC end states and objectives. Planned execution should be accomplished with a minimum of institutional friction. The III MEF TSC plan will have the flexibility to process and resource TSC events in response to the rapidly changing political and military situation in the AOR, unplanned events of opportunity, or out of cycle events.

b. Concept of Operations

(1) TSC Event Generation Cycle

- (a) Event Generation—by MSC, or Staff Section
- (b) Event Submission—by source to G-5
- (c) Initial Analysis—by G-5 and staffing to CG III MEF as required.
- (d) Feasibility Staffing—by G-3, G-5, and other III MEF staff and MSCs as required.
- (e) Event Assignment—by G-3
- (f) Initial Planning—by assigned executing unit
- (g) Entry Into Theater Security Cooperation Management Information Systems (TSCMIS)—by executing unit
- (h) Execution—by executing unit

(2) TSC Funding. MSC and staff sections will execute TSC events based on guidance in the III MEF TSC Plan. AC/S G-5 will process in-cycle events(planned in the future, generally one

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fiscal [FY] or calendar year [CY] in advance) and out-of-cycle events (requested and executed after the external funding process has occurred) in the same manner. As the event generation cycle for out of cycle events is compressed, external funding may not be available. In such cases O&M funding may be utilized where available and lawful.

c. Tasks

(1) AC/S G-1, Administration

(a) Maintain and update all applicable orders to the TSC process.

(b) Advise MSCs and staff sections when formulating III MEF individual manpower requirements for TSC events.

(c) Solicit support from MARFORRES as required to support III MEF TSC events.

(d) Provide information to III MEF G-3 and G-5 as required to determine TSC event feasibility.

(2) AC/S G-2, Intelligence

(a) Provide information to III MEF G-3 and G-5 as required to determine TSC event feasibility.

(b) Compile intelligence products and current intelligence information, in support of the development and updating of country pages, the III MEF TSC plan, and units or individuals planning and executing TSC events.

(c) Conduct Subject Matter Expert Exchanges (SMEEs) as directed.

(3) AC/S G-3, Operations

(a) Provide information to III MEF G-5 as required to determine TSC event feasibility.

(b) Conduct exercise objective development based on G-5 initial planning.

(c) Submit III MEF Operation and Maintenance (O&M) funding requests to III MEF Comptroller.

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(d) Submit PACOM funding submissions to MARFORPAC via III MEF G-5 IAW Enclosure (2).

(e) Develop TSC event concept of operations in conjunction with the G-5. Select TSC event executing units/personnel and Officer Conducting Exercise (OCE).

(f) Create and release initiating directive.

(g) Attend planning conferences and develop timelines for planning (POA&M).

(h) Conduct Time Phased Force Deployment Data (TPFDD) development for TSC events in conjunction with the G-5.

(i) Conduct feasibility of support from MARFORRES (as required) via III MEF G-1.

(j) Conduct After Action Report (AAR) coordination post TSC event.

(4) AC/S G-4 Logistics

(a) Provide information to III MEF G-3 and G-5 as required to determine TSC event feasibility.

(b) Validate and submit Special Assignment Airlift Mission (SAAM) requests.

(c) Conduct planning for engineering, medical, and dental oriented TSC events to include site surveys, conferences, budgeting, submission for in-cycle funding requests, and verification of projects.

(d) Provide staff oversight, technical review, coordination and planning for engineering, medical, dental, and logistic assistance programs submitted by TSC executing units and OCEs.

(e) Conduct SMEEs as directed.

(5) AC/S G-5 Plans and Policy

(a) Receive and evaluate TSC requests against higher headquarters TSC goals and objectives.

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(b) Generate TSC events for specific countries in line with CG III MEF commander's guidance, country requests, and enclosure (5) of this order.

(c) Serve as primary staff section coordinating with higher headquarters and Defense Attaches for all TSC events.

(d) Prioritize and submit all PACOM funding requests to higher headquarters for in-cycle events at annual data funding calls and for out of cycle TSC events as needed.

(e) Staff TSC Event Request Form (TERF) for TSC events to G-3.

(f) Solicit information from III MEF Comptroller, III MEF G-3, and other appropriate staff sections to determine feasibility of TSC events.

(g) Draft and maintain III MEF TSC plan. Update the TSC plan annually to reflect the commander's goals and objectives.

(h) Create, maintain, and manage the III MEF TSC website.

(i) Request PACOM funding for TSC events where appropriate and agreed upon with the G-3.

(j) Provide oversight for the PACOM Theater Security Cooperation Management Information System within III MEF.

(k) Ensure post event TSCMIS reports are completed by OCE.

(6) AC/S G-6 Communications

(a) Provide information to III MEF G-3 and G-5 as required to determine TSC event feasibility.

(b) Provide planning support to TSC efforts.

(c) Conduct SMEEs as directed.

(7) MEF Comptroller

(a) Provide information to III MEF G-3 and G-5 as required to determine TSC event feasibility.

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(b) Provide financial oversight/assistance as well as provide assistance/oversight in securing funds from higher headquarters.

(8) III MEF Staff Judge Advocate (SJA)

(a) Provide information to III MEF G-3 and G-5 as required to determine TSC event feasibility.

(b) Provide legal and fiscal oversight to TSC funding and event execution in accordance with all applicable regulations.

(9) Major Subordinate Command (MSC)

(a) Plan and execute TSC events in accordance with this force order.

(b) Provide information to III MEF G-3 and G-5 as required to determine TSC event feasibility.

d. Coordinating Instructions

(1) Each General Staff section will appoint a primary and secondary TSC Officer as POC for TSC events.

(2) The III MEF TSC website will be the primary venue to coordinate TSC event development, approval, monitoring, and execution feedback.

(3) Staff sections and MSCs should be prepared to conduct SMEEs in their functional areas.

(4) Staff sections and MSCs should be prepared to provide information to III MEF G-3 and G-5 as required to determine TSC event feasibility.

(5) The OCE is responsible for submitting after action reports/trip reports following a TSC event.

(6) Staff sections and MSCs should be prepared to attend planning conferences and site surveys in support of TSC event planning.

(7) Staff sections and MSCs should be prepared to provide support, particularly in special skill areas, to units executing TSC events.

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5. Administration and Logistics

a. Enclosures will be updated annually to reflect changes in plans and orders, commander's intent, as well as changes in the AOR's political, economic, and military situation. The basic Force Order will be updated as required to ensure efficient procedures in TSC event staffing and processing.

b. Enclosure (1) outlines the TSC administrative schedule for a nominal year.

c. Enclosure (2) details the TSC funding process, rules, and sources of funding.

d. Enclosure (3) describes legal considerations in conducting TSC events.

e. Enclosure (4) is a reproducible TSC Event Request Form (TERF), posted on the III MEF TSC SIPRNET web page, to be used electronically when coordinating and planning TSC events.

f. Enclosure (5) describes the stages involved in creating, processing, and transitioning a TSC event from staff section to executing organization.

6. Command and Signal

a. Signal. This order is effective the date signed.

b. Command. This order is applicable to all organizations under the operational control (OPCON) of III MEF


G. C. O'NEILL
Chief of Staff

DISTRIBUTION:
LISTS I, II, III

TSC Administrative Schedule

1. Purpose: To promulgate the yearly schedule for implementation of the III MEF TSC Plan.
2. Schedule: The letters "X," "Y," and "Z" represent three consecutive *fiscal* years.

October: FY: X

- III MEF G-5 chair meeting between staff sections and MSCs to deconflict planning efforts for upcoming PACOM funding cycle and reiterate TSC plan regarding programs that require external funding. Also, MSCs and staff sections present desired TSC activities, resulting from August TSC Plan presentation by III MEF G-5, that do not require external funding. These activities will be prioritized and deconflicted at this meeting.

December: FY: X

- III MEF G-5 data call for Bilateral or Regional Cooperation Program (Bilat) proposals for years Y and Z.
- III MEF G-5 data call for Developing Countries Combined Exercise Program (DCCEP) for years Y and Z.

January: FY: X

- III MEF G-5 data call for Traditional CINCs Activities (TCA) funding proposals for years Y and Z.

February: FY: X

- TCA proposals for years Y and Z due to III MEF G-5.
- Bilat proposals for years Y and Z due to III MEF G-5.
- DCCEP proposals for years Y and Z due to III MEF G-5
- III MEF G-5 data call for Humanitarian Civic Assistance (HCA) funding proposals for years Y and Z.

March: FY: X

- HCA proposals for years Y and Z due to III MEF G-5.
- III MEF G-5 data call for Humanitarian Assistance (HA) funding proposals for years Y and Z.

April: FY: X

- HA proposals for years Y and Z due to III MEF G-5.

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June: FY: X

- TSC meeting between III MEF G-5, G-3, Comptroller, and applicable MSCs and staff sections to discuss results of PACOM funding cycle.

July: FY: X

- III MEF G-5 review and update Force Bulletin 5700 series (TSC plan and country chapters), making changes as necessary and adjusting III MEF TSC plan as required.

August: FY: X

- III MEF G-5 chair meeting between MSCs, staff sections, and comptroller and present TSC plan for next 3 years. MSCs and staff sections will be presented with TSC opportunities throughout the AOR that are supportive of the III MEF TSC Plan. MSCs and staff sections will then formulate an execution strategy over the next two months.

TSC Funding

1. Purpose. The purpose of this enclosure is to familiarize III MEF Staff and MSCs with a variety of External Funding Programs (EFP), and responsibilities and requirements for submission, execution, and reporting.

2. Applicability. This instruction applies to the III MEF staff, MSCs and Liaison Cells.

3. Definitions

a. External Funding Programs (EFP).

(1) Funds that fall under this category consist of PACOM Major External Funding Programs and are supervised by AC/S G-5. In some cases management is shared with other III MEF staff sections.

(2) The phrases "Out of cycle" and "In cycle" apply only to TSC activities that require PACOM funding and do not apply to short notice TSC activities that are fully funded by III MEF Operation and Maintenance (O&M) funds. The "cycle" that these phrases refer to is the PACOM funding approval cycle. PACOM typically conducts a data call for events requiring external funding within the first four months of calendar year "X," the exact time differs depending on the program. This data call in the first few months of calendar year "X" is for fiscal years "Y" and "Z." If an activity is meant to occur in fiscal year "Y" or "Z" and is submitted to the correct data call in calendar year "X," it is said to be "In cycle." If an activity proposed for fiscal year "X" has missed its data call the preceding year or if an activity proposed for fiscal year "Y" has missed its data call during calendar year "X," those proposals are said to be "Out of cycle."

b. O&M MC Funds. O&M funds are for day-to-day expenses of DoD components in garrison and during exercises, deployments, and military operations. Generally, III MEF may use O&M for all "necessary and incident" operational expenses; however, where a foreign military or government is involved in TSC and will receive some benefit, use of O&M funds may be illegal. Either DoS funding under Title 22, or the Title 10 programs discussed in enclosures (2) and (3) may be the only proper funding source. (Consult the Comptroller and the Staff Judge Advocate.)

4. Background. This section will provide all interested parties with the knowledge of and the ability to apply for and obtain certain PACOM and Title 10 funds specifically for use in

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TSC events. Technical responsibility for the initiation and review of EFP submissions resides with MSCs and their respective staff sections within III MEF as defined by the Force Order.

5. General

a. Each EFP has prescribed organization, procedures, and responsibilities for management. When submitting requests, the instructions and requirements for that specific program shall be carefully reviewed. All information that is requested on the specific external funding program form is required. Do not leave any area blank. Do not leave any area off the submission. If the requested information is "not applicable" be sure to annotate on the form using N/A. Examples of forms are found at the end of this enclosure.

b. Common to all EFP and vital to their approval is coordination. Each request should be worked/chopped through subject matter experts and program POCs up the chain. MSCs Comptroller/Finance Department should review the EFP prior to submission to III MEF. MSCs should discuss proposals with their III MEF POC's. III MEF staff sections will discuss and coordinate with MARFORPAC POC.

6. Organization. AC/S G-5, on behalf of III MEF, reviews the EFPs. Associated funding is managed by III MEF AC/S Comptroller, or the initiator's (MSC) Accounting and Finance/Comptroller depending on the method used to transfer funds.

7. Procedures

a. MSCs Points of Contact (POC) will be the Comptroller/Financial Office and will be included as addressee for data calls and queries. III MEF Staff Sections and MSC's Comptroller/Financial Offices may wish to designate an Action Officer (AO) for each EFP (e.g., CIF/AO, TCA/AO, Title 10-HA/AO, Title 10 DCCEP/AO, etc.).

b. In addition to the instructions outlined in this guide, data calls may provide additional and/or modified details for submission.

8. Responsibility

a. G-5 responsibilities: The G-5 shall develop, assist in the development of, and revise EFP submissions, as well as

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monitor approved projects until completion. Tasks include:

- (1) Publish data call.
- (2) Collect/Consolidate submissions.
- (3) Prepare basic event package.
- (4) Coordinate staff review and determine applicability to PACOM, MARFORPAC, and III MEF mission.
- (5) Prioritize proposals based on funding and forces available.
- (6) Obtain CG approval.
- (7) Submit to approving authority.
- (8) Coordinate review by staff of approving authority.
- (9) Track through approval and execution process.
- (10) Ensure required reports are submitted.

b. MSC and III MEF Staff Sections are responsible for:

- (1) Responding to the data call.
- (2) Developing the candidate submission.
- (3) Reviewing the unique requirements of each EFP prior to submission.
- (4) Using correct form/format for submission.
- (5) Providing all information requested on submission forms.
- (6) The MSCs must ensure that each proposal is reviewed by the respective Comptroller prior to submission to III MEF G-5.
- (7) Coordinating with MARFORPAC POCs.
- (8) Submitting all required reports through G-5 in time for III MEF to meet MARFORPAC EFP deadlines.

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(9) Providing any additional information requested by G-5.

(10) Submitting proposals electronically using Microsoft Word via E-mail.

c. MSC Comptrollers

(1) Review submission packages for compliance with applicable laws, regulations, and instructions governing the use of O&M and External fund sources.

(2) Coordinate receipt and execution of funds when appropriate.

(3) Provide III MEF AC/S Comptroller, a copy of all funding documents.

(4) Provide execution reports to III MEF AC/S Comptroller.

d. III MEF AC/S Comptroller

(1) Coordinates receipt and execution of funds when appropriate. Pass to staff section or MSC's where applicable.

(2) Review final submission packages for compliance with applicable laws, regulations, and instructions governing the use of O&M and External fund sources.

e. III MEF Staff Sections and MSCs Will coordinate all requests for external funding programs support with III MEF G-5.

(1) Procedures:

(a) *When to initiate requests (in-cycle).* III MEF G-5 will initiate a data call for submissions one year in advance (example, the 2004 HCA data call was initiated in Dec. 2002). MSCs will submit the nomination to MARFORPAC via III MEF G-5.

(b) *Out-of-cycle requests.* An out-of-cycle request is one that, because of timing, does not allow for submission to the data call (i.e., the data call has already been conducted). MSC's will submit out-of-cycle requests to MARFORPAC via III MEF

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G-5. It is important to note however that out-of-cycle requests have very little chance of obtaining external funding.

(c) Once III MEF and MSCs have been notified of approval, the responsible action officer should inform the Comptroller/Financial Office of the date when the money is needed to implement the activity. Request the funds be forwarded at least two months in advance. Provide Comptroller with complete address of where the funding document is to be sent. Also provide name, phone number and e-mail address of action officer and fiscal points of contact. III MEF AC/S Comptroller will not accept MIPRs. The MIPR should be addressed to the initiating MSC Comptroller.

(d) Submissions need not be limited to one page unless specifically noted on submission format. Adequate information is required in order for III MEF, MARFORPAC, and PACOM to assess each initiative competitively. Because of the length, submission via E-mail, vice message, is preferable.

(e) Submissions must contain all paragraphs complete with required information. Failure to provide correct information generally will result in processing delays until appropriate information is provided. In some cases, inadequate information and associated delays have resulted in disapproval.

9. External Funding Programs

a. CINC Initiatives Fund (CIF) (Submission Format, Sample of a successful project, and Quarterly Report format are located on pages 17-20 of this Enclosure.)

(1) Program Requirements and Description: CIF is a CJCS tool to fund unforeseen requirements critical to Combatant Commander's joint warfighting and national security interests that need immediate resolution. The strongest candidates submitted for CJCS approval are initiatives that support CINC activities and functions, enhance joint interoperability, and yield high benefits at low cost. The CJCS is the fund manager and the approving authority for each project. CIF funds are not allocated by a fixed percentage to each CINC, but awarded competitively with each initiative evaluated on its own merits. The two key points to remember are that CIF:

(a) Provides a means whereby PACOM can react to actions that were unforeseen last year, doable this year, and too late next year; and

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(b) Focuses on high priority, one-time, unprogrammed requirements that meet emerging readiness initiatives with a high pay-off.

(2) *Eligible for funding.* Proposed events must qualify within one of the following authorized activities:

(a) Joint Exercises and Force Training. Examples include funding to support emergent requirements for incremental exercise costs, simulation support, and equipment or supplies in support of participating forces.

(b) Contingencies and Selected Operations. Examples include funding to support unprogrammed CINC expenses associated with joint task force headquarters for relief operations, contingency plan execution, and other joint events in support of operations CINC'S are called upon to execute without prior notice.

(c) Humanitarian and Civic Assistance Operations. Examples include projects in developing countries (listed on pages 15-16 of this enclosure) in support of CINC'S regional priorities. The initiative should represent new requirements that are needed to support evolving current events and political situations.

(d) Command and Control. Examples include training events for CINC training programs either supporting C2 requirements or requiring funding for specific C2 equipment or support during the conduct of the training event; studies aimed at enhancing CINC C2 capabilities (other than small engineering requirements associated with C4 problems); CINC C2 equipment or software purchases over the Command and Control Initiatives Program (C2IP) limit (defined as a total system or components required to support a total system); development of software in support of CINC C2 requirements; and personnel expenses associated with the design and installation of equipment. Activities in support of C2 within the scope of the C2IP as discussed in this Order (Under \$300K threshold), must be submitted for funding under that program.

(e) Military Education and Training to Military and Related Civilian Personnel of Foreign Countries. Examples include expenses of military and civilian personnel of foreign countries in support of joint training and education events (including transportation, translation, and administrative expenses), funding of US personnel to support joint training

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events with developing countries, and other costs associated with joint military education and training.

(f) Personal Expenses of Defense Personnel for Bilateral or Regional Cooperation Program. Examples include expenses associated with the participation of US and foreign country defense personnel (military or civilian) involved with or attending a particular conference or meeting.

(3) *Not eligible for funding:*

(a) Acquisition of major systems or end items (ships, tanks, trucks, aircraft).

(b) Equipment purchases or services for more than 1 year on continuing basis. CIF can be used only on a one-time basis to support emergent requirements. Subsequent requests to provide out-year funding to support projects initially funded by CIF are not acceptable.

(c) Employee salaries and expenses except in approved cases of TDY/TAD expenses.

(d) Military Construction (MILCON).

(e) Research and Development.

(f) Initiatives that cannot be fully obligated in same fiscal year submitted.

(g) Initiatives where funding has already been provided by a PACOM executive agent/component.

(h) Operational items such as flying hours or steaming days.

(i) Initiatives that have another dedicated funding source, i.e., C2IP.

(j) ADP related equipment purchases for admin support.

(k) Equipment purchases for foreign countries including developing countries.

(l) Requests for funds intended to supplement or circumvent the respective service funding responsibilities.

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(m) Projects that have failed other funding cuts (CIF is not alternative funding).

(n) Certain TDY/TAD expenses. JCS stated that CIF is not used to supplement PACOM travel funds and will only be considered as a by-product of the primary initiative. If TDY/TAD is required in conjunction with an initiative, e.g. installation of equipment, training, a detailed description of the requirements and cost estimates must be provided.

(4) Data Calls From PACOM: This is a JCS managed Program and May be submitted throughout the year.

(5) Points of contact: III MEF G-5 DSN: 622-7887

b. Traditional CINC Activities (TCA) (application form, sample successful project application, and status report format are located on pages 21-25 of this Enclosure.)

(1) Program Requirements and Description: TCA is designed to promote regional security and other U.S. national security goals; help shape militaries within the CINC's AOR; and provide access and influence as we pursue our strategy of engagement and enlargement.

(2) *Eligible for Funding.* O&M and MILPER'S funds in support of:

- (a) Military liaison.
- (b) Traveling contact teams.
- (c) Mobile training teams.
- (d) Staff assistance/assessment visits.
- (e) Joint combined exercise observers.
- (f) Bilateral staff talks.
- (g) Host nation medical/dental visits.
- (h) Reserve participation in the above types of activities.

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(3) *Not eligible for funding:*

- (a) Any activity specifically denied by Congress.
- (b) Acquisition of weapons systems or major end items.
- (c) Research and Development.
- (d) Training of foreign militaries normally funded with IMET or FMS (Title 22).
- (e) Exercise Related Construction (ERC).
- (f) MILCON projects.
- (g) Humanitarian Civic Assistance (HCA) and humanitarian disaster relief (except for incidental costs).

(4) Data Calls: PACOM scheduled for January, due to MARFORPAC G8 R&P in February.

(5) Points of Contact: III MEF G-5 DSN 622-7887

c. Title 10 Section 401 U.S. Code, Humanitarian and Civic Assistance (HCA) (Project nomination and justification, and after action report format are located on pages 26-27 of this Enclosure.)

(1) Program Requirements and Description: For HCA, the exercise is the vehicle for participation and the emphasis is on training. Humanitarian issues are secondary.

(2) *Eligible For funding.* HCA will support activities listed:

- (a) Which have prior approval of State Department.
- (b) In conjunction with authorized military operations in a developing country.
- (c) Must promote the specific security interests of both the United States and the country in which they are undertaken.
- (d) Which serve the basic economic and social needs of the people of the country concerned.

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(e) Payment of expenses directly incurred by the HCA activity such as materials and services.

(f) *The law specifically authorizes:*

(1) Medical, dental and veterinary care provided in rural areas of a country.

(2) Construction of rudimentary surface transportation systems.

(3) Well drilling and construction of basic sanitation facilities.

(4) Rudimentary construction and repair of public facilities.

(3) *Not eligible for funding:*

(a) Assistance directly or indirectly to any group, individual, or organization engaged in military or paramilitary activity. The legislative history specifically prohibits funding airfields.

(b) Authorized activities conducted without prior approval of the State Department, or activities which duplicate or "compete" with other forms of social or economic assistance provided by any other department or agency of the U.S.

(c) Payment of any U.S. costs associated with the military operation (e.g., transportation, personnel expenses, POL, repair of equipment etc.)

(d) Assistance rendered without proper U.S. forces involvement. U.S. forces must be employed to attain the intended training objective and make the HCA unique from any assistance that might be provided by other U.S. government agencies. Furthermore, the number and types (by professional or technical skill) of U.S. forces employed must be in keeping with the size and objectives of the planned HCA activity.

(e) Contractor support. In general, contractor services are not permitted, except for minimal, specialized support essential for activity completion, and not otherwise available or economically infeasible.

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(4) Data Calls: PACOM Data call in February and due to MARFORPAC in March.

(5) Points of Contact: III MEF G-5 DSN: 622-7887

d. Title 10 Section 2547 U.S. Code) Humanitarian Assistance Program - Excess Property (HAP-EP) (Project nomination and justification, and after action report format are on pages 28-29 of this Enclosure.)

(1) Program Requirements and Description: HAP-EP provides excess DOD property to support humanitarian assistance or disaster relief.

(2) *Eligible for funding.* HAP-EP will support the following activities that:

(a) Have prior approval of State Department.

(b) Are conducted in conjunction with authorized military operations in a developing country.

(c) Are not tied to a JCS or CINC approved exercise.

(d) Promote the specific security interests of both the United States and the country in which they are undertaken.

(e) Serve the basic economic and social needs of the people of the country concerned.

(f) Payment of expenses directly incurred by the HCP/EP activity such as materials and services.

(3) *Not eligible for funding:*

(a) Assistance directly or indirectly to any group, individual, or organization engaged in military or paramilitary activity. The legislative history specifically prohibits funding airfields.

(b) Authorized activities conducted without prior approval of the State Department or activities which duplicate or "compete" with other forms of social or economic assistance provided by any other department or agency of the U.S.

(4) Data Calls: PACOM Data call in February and due to MARFORPAC in March.

TSC Funding

(5) Points of Contact: III MEF G-5 DSN: 622-7887

e. Title 10 Section 2551 U.S. Code, Humanitarian Assistance (HA) (Project nomination and justification, and after action report format are located on pages 30-31 of this Enclosure.)

(1) Program Requirements and Description: HA (Title 10 Section 2551) is not linked to any exercise, is not for training purposes and is strictly for humanitarian assistance.

(2) *Eligible for funding.* HA will support the following activities:

(a) Which have prior approval of State Department.

(b) In conjunction with authorized military operations in a developing country.

(c) Must promote the specific security interests of both the United States and the country in which they are undertaken.

(d) Which serve the basic economic and social needs of the people of the country concerned.

(e) Payment of all expenses directly incurred by the HA activity such as materials, services, O&M and Stratlift.

(f) *The law specifically authorizes:*

(1) Medical, dental and veterinary care in rural areas of a country.

(2) Construction of rudimentary surface transportation systems.

(3) Well drilling and construction of basic sanitation facilities.

(4) Rudimentary construction and repair of public facilities.

(3) *Not eligible for funding:*

TSC Funding

(a) Assistance directly or indirectly to any group, individual, or organization engaged in military or paramilitary activity.

(b) Authorized activities conducted without prior approval of the State Department or activities that duplicate or "compete" with other forms of social or economic assistance provided by any other department or agency of the U.S.

(c) Assistance rendered without proper U.S. forces involvement. However, U.S. forces need not be employed to attain the intended objective. The number and types (by professional or technical skill) of U.S. forces employed must be in keeping with the size and objectives of the planned HA activity.

(d) Contractor support. Contractor services are permitted, but it is recommended that U.S. military personnel perform quality assurance for any contracted HA project.

(4) Data Calls: The PACOM Data Call is in March and due to MARFORPAC in April.

(5) Points of Contact: III MEF G-5 DSN: 622-7887

f. Title 10 Section 1051 U.S. Code, Bilateral or Regional Cooperation Program (Bilat) (Project nomination and justification, after action report format are located on page 32 of this Enclosure.)

(1) Program Requirements and Description: Pays for personal expenses and travel of foreign nationals associated with attending a conference of exercise.

(2) *Eligible for Funding:*

(a) Payment of travel and per diem for defense personnel from developing countries in connection with attendance of such personnel at a bilateral or regional conference, seminar, or similar meeting.

(b) Personnel expenses associated with attendance at a conference, seminar, or other similar meeting. (Note: USCINCPAC interpretation is that this authority extends to room rentals, conference fees, charges for materials, office supplies, procurement, etc., for which U.S. attendees at a similar event would be reimbursed.)

TSC Funding

(c) Payment for travel to and from the implicit countries noted as developing countries.

(3) *Not eligible for funding:*

(a) Payment of per diem or travel at a rate greater than that paid to U.S. personnel for the same travel.

(b) Payment for anyone not from a developing country.

(c) Payment for non-defense personnel including spouses of military officers or spouses of civilian defense officials.

(d) Travel unrelated to official business travel.

(e) Payment for meals, socials, receptions, etc., normally covered by Official Representation Funds.

(f) Payment for coffee mess fees or similar food arrangements, which are provided for by per diem allowances. Such expenses are not conference fee reimbursable.

(g) Training, Title 10, USC Section 1051 does not authorize funding travel to training courses. Cannot complete with IMET.

(4) DATA CALLS: PACOM, Data Call in December units and due to MARFORPAC in Feb

(5) POINTS OF CONTACT: III MEF G-5 DSN: 622-7887

g. Title 10 Section 1020 U.S. Code, Developing Countries Combined Exercise Program (DCCEP) (Project nomination and justification format, sample successful DCCEP project submission, and after action report format are located on pages 33-35 of this Enclosure 1.)

(1) Program Requirements and Description: DCCEP is a funding program that pays for costs incurred by a developing country during participation in an exercise.

(2) *Eligible for funding:*

TSC Funding

(a) Payment of incremental expenses incurred by a developing country as a direct result of a bilateral or multilateral military exercises. Incremental expenses are statutory defined as: "...the reasonable and proper cost of goods and services that are consumed by a developing country as a direct result of that country's participation including rations, fuel, training ammunition, and transportation."

(b) An exercise held primarily to enhance U.S. security interests. Fundamental objectives of the exercise cannot be achieved unless the U.S. funds foreign military participation.

(3) *Not eligible for Funding:*

(a) Payment of incremental expenses for any country not properly defined as a "developing country."

(b) Payment for any U.S. expenses of the combined exercise.

(c) Payment of personnel pay and allowances for the foreign forces participating in the exercise.

(d) Payment of any expense not incurred by the foreign country as a direct result of its participation in the exercise.

(e) Payment for activities without State Department approval.

(f) Payment for participation by a foreign military that the foreign country has paid for in the past. (Note: Not a statutory requirement. USCINCPAC seeks to obtain more foreign participation, not reimburse foreign militaries for expenses they have previously paid for.)

(4) USPACOM developing countries eligible for DCCEP funds:

Bangladesh
Cambodia
Commonwealth of Independent States
(conditional)
Comoros
Federated States of Micronesia
Fiji

Marshall Islands
Mauritius

Mongolia
Nepal
Naru
Papua New Guinea

TSC Funding

India	Philippines
Indonesia	Solomon Islands
Kiribati	Sri Lanka
Laos	Thailand
Madagascar	Tonga
Malaysia	Tuvalu
Maldives	Vanuatu
	Western Samoa

Note: Some countries listed above may not have a defense force per se. Members of constabularies may apply in such cases.

(5) USPACOM countries not eligible for DCCEP funds:

Australia
Brunei
Japan
Korea
New Caledonia
New Zealand
Peoples' Republic of China (conditional)
Singapore
All colonies, protectorates, and U.S. commonwealths/territories

(6) Data Calls to PACOM: PACOM Data Call in Dec and due to MARFORPAC in Feb

(7) Points of Contact: III MEF G-5 DSN: 622-7887

10. Examples of required forms:

a. CINC'S INITIATIVES FUND.

CINC'S INITIATIVES FUND SUBMISSION FORMAT:

Submitted by: (CDR's Name)

Amount Requested: (Rounded to the nearest thousand)

Title: (Unclassified version)

Type Activity: (Select category from program eligibility paragraph (a))

Description: (Must include a detailed description of the initiative, i.e., what will funds buy followed by a brief summary of what is to be accomplished. Detailed costs estimates should be listed in this section. In particular, TDY/TAD requirements, contractual services, and equipment purchases must provide detailed unit costs, rates, and descriptions to include contractual vehicles and acquisition contracts to be used.)

Justification: (Should be clear statement of need focused on how the project directly supports readiness and the CINC's warfighting mission. [For C4 requirements, discuss interoperability considerations and address their resolution.] Include impact if not funded, if the project has been included in a budget request or if other funding sources have been considered, and any other points for consideration.)

Provide separate answers to the following four questions.

(1) Why was the project not funded last year?

(2) Why does the project need to be funded this year?

(3) Can the project be delayed until next year? If not, state the reason.

(4) What is the impact of failure to fund this year?

Funding Plan

Type of Funds: (Breakout between O&M and Procurements funds required)

TSC Funding

Example: O&M \$550,000 Procurement \$

Breakout of expenditures by Object Class:

Example:	Object Classification Code	Cost
	2532 Contract Studies	\$450,000
	2100 Travel and Per Diem	25,000
	2602 Computer Software	25,000
	3141 Communications Equipment	50,000
	Total:	<u>\$550,000</u>

Project Point of Contact: (Name, Rank, Office, Phone Number)

Contracting Point of Contact: (Name, Rank, Office, Phone Number
- Mandatory for all initiatives requiring contractual services
and/or procurement of equipment.)

Approving Authority: (CINC or Deputy CINC)

TSC Funding

SAMPLE OF SUCCESSFUL CINC'S INITIATIVES FUND PROJECT:

Submitted by: USPACOM

Amount Requested: \$338,000

Title: Common Operational Picture (COP) for USFK

Type Activity: Command and Control

Description: The Global Command and Control System's (GCCS) Common Operational Picture (COP) is a key tool for planning and conducting joint combat operations. The COP provides every element of the command structure with a common view of the battlespace. US Forces Korea (USFK) successfully developed and demonstrated a theater COP for ULCHI FOCUS LENS '97. A new, emergent technology called Command and Control PC (C2PC) allowed USFK to quickly deploy a standard, yet tailorable, COP to over 70 joint and allied locations in the theater for UFL 97. This proposal is a theater initiative to expand C2PC availability to U.S. command elements throughout Korea. Specifically, connectivity to support C2PC proliferation at the USFK staff (J1-J6), component commands (GCC, NCC, and ACC), and subordinate commands (field armies, naval units, air wings, and Marine forces). This new capability will significantly enhance command and control and translate directly into a warfighting advantage in this volatile theater.

Justification: This recently demonstrated capability provides a dramatic increase in USFK/CFC battle staff situational awareness. Moreover, C2PC allows the flexibility of installing new COP terminals anywhere we have secure connectivity. If Command and Control centers were to move around during a conflict, the COP could travel with them. Supporting theater-wide proliferation of C2PC's will require improved system administration capabilities and improved communication connectivity.

(1) Why was the project not funded last year? The capability to demonstrate the COP on a personal computer using C2PC is a new, emergent technology for this theater. The demand, as well as its impact on our existing communications architecture, could not have been projected.

(2) Why does the project need to be funded this year? Field C2PC's immediately is a key element for ensuring commanders have access to the COP. The COP is a tactical,

TSC Funding

operational, and strategic force multiplier. It provides immediate situational awareness, thus enabling the battlefield commander to best prosecute the battle and exploit enemy weaknesses.

(3) Can the project be delayed until next year? If not, state the reason. No. The threat of armed conflict remains high in this theater. Delaying this project until next year takes away a significant warfighting advantage and exposes soldiers, sailors, and airmen to unnecessary risk. The reaction to the COP demonstration during UFL 97 was overwhelming and enthusiastic, making COP via C2PC an essential part of our warfighting tool kit.

(4) What is the impact of failure to fund this year? Failure to fund would mean that the COP is not available to our warfighters and would deny them a significant combat capability. A standardized depiction of the battlespace, as envisioned in the C4I For The Warrior concept, must be provided in the near-term.

Funding Plan

Type of Funds:

Example: O&M \$92,000 Procurement \$246,000

Breakout of expenditures by Object Class:

Example: Object Classification Code	Cost
2602 Computer Software	\$ 88,000
3141 Communications Equipment	\$235,500
2567 ADP Systems Design & Engineering	\$ 14,500
Total:	\$338,000

Project Point of Contact: LtCol Smith, 607 ACOMS/SCC, email: amithj@hq7af.pusan.mil, DSN 555-6603

Contracting Point of Contact: Capt Jones, 607, COS/TBM, email: jones@hq7af.pusan.mil, DSN: 555-6905

Approving Authority: USCINCPAC

TSC Funding

CINC'S INITIATIVES FUND QUARTERLY REPORT FORMAT:

Submitted by:

Amount funded:

Title: (Unclassified version)

Status of Project: (Outline how the project was completed. If not, state what progress is being made and the expected completion date.)

Funding Data: (Indicate how much of the fund has been committed and provide a brief summary of how the fund has been obligated, name of contractor, vendor, or organization.)

Benefits derived: (If project is complete, outline what benefits are being achieved.)

Action Officer: (Name, Rank, Office, Phone Number, e-mail address)

TSC Funding

b. TRADITIONAL CINC ACTIVITIES FUND.

TRADITIONAL CINC ACTIVITIES SUBMISSION FORMAT:

ORIGINATOR: (Staff or Component) PROJECT PRIORITY: ____ of ____

(Originator's priority out of total number)

TITLE: (Short title of project.)

PREVIOUS HISTORY: (Provide any previous information that may be relevant to this submission. Indicate if this is a TCA re-submission. Identify original submission and indicate why it was not previously approved/executed, i.e. no funding, higher priority, returned for pricing, etc.)

DESCRIPTION: (What do you want to do? Describe exactly: Fund a reserve billet, provide travel funds for foreign officer attendance at regional conferences, create a regional newspaper, fund a regional fellowship, fund a symposium, etc.)

JUSTIFICATION: (Explain how the project will improve or enhance the CINC's theater strategy. Be brief and clearly indicate how or which muscle movements this proposal will support.)

COST: (How much will it cost? This is the most important part of the proposal and perhaps the most difficult. Estimate project costs by fiscal year and appropriation.)

NOTE: (TCA is funded from the O&M and MILPER'S appropriations; costs should be identified to these appropriations as applicable. Further, O&M "tails" for project sustainment is not normally funded through TCA [see next paragraph]. Cost estimates should be as accurate as possible. It is helpful to itemize subtotals by broad areas such as salaries, administrative, travel, equipment, and supplies. The total amount should equal your request. Seek assistance from the Comptroller as necessary.)

OUTYEAR SUPPORT COSTS: (Describe other costs required by, or as a result of, the project such as recurring maintenance. Explain how out-year costs will be funded.)

MILESTONES: (What is the schedule of events? Provide a list of important dates and milestones for your project. Highlight time constraints.)

TSC Funding

PROJECT POINTS OF CONTACT: (Who is the action officer? Provide the name, tank, office, mailing address, DSN and commercial number, and e-mail address of the POC's for the project.)

COST SUMMARY

O&M

GENERAL ITEMS

(Travel (Active Duty)	\$10,000
Per Diem (Active Duty)	\$ 5,000
Equipment	\$10,000
Supplies	\$ 1,000
Subtotal:	\$26,000

<u>PERSONNEL</u>		<u>O&M (PD/TVL)</u>	<u>MILPER'S</u>				<u>PAY/ALLOW</u>	<u>SUM</u>
<u>NUMBER/RANK</u>			<u>MARINE</u>	<u>AF</u>	<u>ARMY</u>	<u>NAVY</u>		
4	O-4	\$47,500	\$85,500					\$85,500
1	E-6	<u>\$11,700</u>	<u>\$ 9,500</u>					<u>\$ 9,500</u>
Subtotal:		\$59,200	\$95,000					\$95,000
O&M Total:		\$85,200	Total MILPER'S:					\$95,000
GRAND TOTAL:								\$180,200

Example of successful Traditional CINC Activities Fund Submission:

ORIGINATOR: COMUSMARCENT-I MEF PROJECT PRIORITY: 3 of 7

(Originator's priority out of total number)

TITLE: Exercise Emerald Express '98

PREVIOUS HISTORY: Exercise Emerald Express '98 will continue USCENCOM's efforts and I MEF's lead in the advancement of understanding of the Operations Other Than War (OOTW) mission area. Complex emergency operations (humanitarian assistance/peace operations) remain continuing, non-traditional mission to which U.S. Department of Defense capabilities and personnel are increasingly deployed.

DESCRIPTION: Exercise Emerald Express has evolved into a training symposium designed and structured to engender the exchange of ideas, experiences, problems, solutions and procedures among the DoD commanders, their staffs and those equally challenged counterparts within various communities representing the humanitarian assistance/peace operations arena.

Past participant and attendee representation have included United Nations, Department of State, U.S. government, civilian Department of Defense, foreign military, civilian and military academic, diplomatic, U.S. corporations, U.S. and foreign government and private volunteer organizations, civilian media, U.S. active duty and reserve military, and retired foreign and U.S. military personnel.

Emerald Express has employed field exercises, field demonstrations and scenarios, lectures, presentations, working group taskings, panel discussions, personnel anecdotes, subject matter experts, group discussions and seminar formats.

Each year's exercise design is unique, both for its structure and its content. It is imperative to understand the dynamic that is Emerald Express, in attempting to identify specific costs associated with it from year to year. In general, the following areas require funding support.

a. O&M funding required for appropriate applications of travel and per diem expenses for civilian, government and foreign national "subject matter experts".

TSC Funding

b. O&M funding for direct costs associated with the research, acquisition, production and reproduction of training materials used for the exercise and intended further as take-away reference material.

c. O&M funding for direct costs associated with facility usage fees supporting this exercise/symposium.

d. O&M funding for direct costs associated with the rental of audiovisual equipment and the requisite on-site technicians to adequately provide an appropriate and effective training environment.

e. O&M funding required for travel and per diem in support of reserve MILPER'S personnel augmentation.

f. MILPER'S funding for Marine reserve officers and enlisted personnel to augment the active duty planning and execution cell responsible for the conduct of this exercise.

JUSTIFICATION: OOTW are an essential mission task of USCENTCOM. Because OOTW are non-traditional in the sense that long-range contingency planning cannot adequately address the ad hoc and unique nature of each deployment, it is essential to regularly gather participants together in order to keep this mission area in the forefront of the operational military commanders' thinking.

COST:

COST SUMMARY

O&M

GENERAL ITEMS

(Travel (Active Duty)	\$10,000
Per Diem (Active Duty)	\$ 5,000
Equipment	\$10,000
Supplies	<u>\$ 1,000</u>
Subtotal:	\$26,000

PERSONNEL	<u>O&M (PD/TVL)</u>	<u>MILPER'S</u>	<u>PAY/ALLOW</u>	<u>SUM</u>
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TSC Funding

<u>NUMBER/RANK</u>			<u>MARINE</u>	<u>AF</u>	<u>ARMY</u>	<u>NAVY</u>
4	O-4	\$47,500		\$85,500		\$85,500
1	E-6	<u>\$11,700</u>		<u>\$ 9,500</u>		<u>\$ 9,500</u>
Subtotal:		\$59,200		\$95,000		\$95,000
O&M Total:		\$85,200	Total MILPER'S:			\$95,000
			GRAND TOTAL:			\$180,200

OUTYEAR SUPPORT COSTS: None

MILESTONES:

Jun	1997	Exercise concept development
Sep	1997	Concept decision
Nov	1997	Key personnel invitations sent
Jan	1998	Stand up exercise staff (active duty and reserve)
Jan-Apr	1998	Work up
Apr	1998	Emerald Express '98

PROJECT POINTS OF CONTACT: G3 Current Ops Officer (Admin),
 Commanding General, I MEF, Attn: Emerald Express, Box 555300,
 Camp Pendleton, Ca 92055-3000, DSN: 365-9154, Commercial (619)
 725-9145

GS12 Susan R. E. Hough, G8/Requirements and Programs (R&P),
 MARFORPAC, Box 64112 Camp H. M. Smith, Hawaii 96861, DSN 477-
 8393, Commercial (808) 477-8393

TSC Funding

TRADITIONAL CINC ACTIVITIES PROJECT STATUS REPORT FORMAT:

DATE OF REPORT: (Reports are required quarterly and will be "as of" 31 December, 31 March, 30 June and 30 September and are due to MARFORPAC by the 10th of the following month. The fourth quarter report, as of September 30 199X/200X, will serve as the "end of year report" and is due MARFORPAC NLT 10 October.)

PROJECT TITLE: (Use the same short title as was submitted for project approval.)

ORIGINAL ESTIMATED COST: (Use the dollar amount approved.)

CURRENT STATUS: (Short statement of progress of project)

CHANGES/ADJUSTMENTS: (Explain any differences between estimated cost and actual cost. Amount of funds used. Amount of funds that can be returned for redistribution. If additional funds are required, explain all cost increases and source of additional money. Unused funds may be applied to other approved projects or must be returned to USCINCCENT. Expenditures must be related to approved projects.)

c. HUMANITARIAN AND CIVIC ASSISTANCE.

PROJECT NOMINATION AND JUSTIFICATION FORMAT FOR TITLE 10-HCA:

A separate summary of proposed activities shall be provided for each country for which activities are proposed. Information that is required is listed below.

Priority Number:

Title of Activity:

Recipient Country:

Location of Activity:

Type and Description of Activity:

Inclusive Dates of Activity:

Unit Designations(s) and Total Number of U.S. Forces Participating:

U.S. Dollar Cost of Consumables:

Justification:

1. How the HCA activity serves the interests of both the U.S. and host country.

2. How the HCA activity promotes specific operational readiness and/or skills of members of the U.S. Armed Forces participating in the activity.

3. Certification by Unified Combatant Commander's legal staff that the activity conforms with statutory requirements and applicable regulations.

Country Team Coordination:

1. Statement of concurrence of both U.S. Ambassador and Agency for International Development (AID) Director.

2. Statement that the HCA activity complements, but does not duplicate or otherwise conflict with, economic or social programs of other U.S. government agencies.

TSC Funding

Point(s) of Contact: (Unified Combatant Commander's POC, with phone and facsimile numbers (DSN and Commercial)).

AFTER ACTION REPORT FORMAT FOR TITLE 10-HCA:

(List Individual HCA/HA activities by country and project number. For both the mid-year and final reports, a separate summary page of the activities reported on shall be provided for each country in which activities were conducted. Such summaries shall include information requested below in sections A, D, G, and H for each activity conducted in a country. Format follows:)

Title of Activity:

Recipient Country:

Location of Project:

Type and Description of Project:

Inclusive Dates of Project:

Unit Designation(s) and Number of U.S. Forces Participating:

U.S. Dollar Cost of Consumables

Objectives Achieved:

1. How the HCA activity served the interests of both the U.S. and host country.

2. How the HCA activity promoted specific operational readiness and/or skills of members of the U.S. Armed Forces participating in the activity.

TSC Funding

d. HUMANITARIAN ASSISTANCE PROGRAM - EXCESS PROPERTY.

PROJECT NOMINATION AND JUSTIFICATION FORMAT FOR TITLE 10, HAP-EP:

A separate summary of proposed activities shall be provided for each country for which activities are proposed. Information that is required is listed below.

Priority Number:

Title of Activity:

Recipient Country:

Location of Activity:

Type and Description of Activity:

Inclusive Dates of Activity:

Unit Designations(s) and Total Number of U.S. Forces Participating:

U.S. Dollar Cost of Consumables:

Justification:

1. How the HAP-EP activity serves the interests of both the U.S. and host country.

2. How the HAP-EP activity promotes specific operational readiness and/or skills of members of the U.S. Armed Forces participating in the activity.

3. Certification by Unified Combatant Commander's legal staff that the activity conforms with statutory requirements and applicable regulations.

Country Team Coordination:

1. Statement of concurrence of both U.S. Ambassador and AID Director.

TSC Funding

2. Statement that the HAP-EP activity complements, but does not duplicate or otherwise conflict with, economic or social programs of other U.S. government agencies.

Point(s) of Contact: (Unified Combatant Commander's POC, with phone and facsimile numbers (DSN and Commercial)).

AFTER ACTION REPORT FORMAT FOR TITLE 10, HAP-EP:

List Individual HAP-EP activities by country and project number. For both the mid-year and final reports, a separate summary page of the activities reported on shall be provided for each country in which activities were conducted. Such summaries shall include information requested below in sections A, D, G, and H for each activity conducted in a country. Format follows:

Title of Activity:

Recipient Country:

Location of Project:

Type and Description of Project:

Inclusive Dates of Project:

Unit Designation(s) and Number of U.S. Forces Participating:

U.S. Dollar Cost of Consumables

Objectives Achieved:

1. How the HAP-EP activity served the interests of both the U.S. and host country.
2. How the HAP-EP activity promoted specific operational readiness and/or skills of members of the U.S. Armed Forces participating in the activity.

e. HUMANITARIAN ASSISTANCE.

PROJECT NOMINATION AND JUSTIFICATION FORMAT FOR TITLE 10-HA:

A separate summary of proposed activities shall be provided for each country for which activities are proposed. Information required is outlined below.

Priority Number:

Title of Activity:

Recipient Country:

Location of Activity:

Type and Description of Activity:

Inclusive Dates of Activity:

Unit Designation(s) and Total Number of U.S. Forces Participating:

U. S. Dollar Cost of Consumables:

Justification:

1. How the HA activity serves the interests of both the U.S. and host country.

2. How the HA activity promotes specific operational readiness and/or skills of members of the U.S. Armed Forces participating in the activity.

3. Certification by Unified Combatant Commander's legal staff that the activity conforms with statutory requirements and applicable regulations.

Country Team Coordination:

1. Statement of concurrence of both U.S. Ambassador and AID Director.

2. Statement that the HA activity complements, but does not duplicate or otherwise conflict with, economic or social programs of other U.S. government agencies.

TSC Funding

Point(s) of Contact: (Unified Combatant Commander's POC, with phone and facsimile numbers (DSN and commercial)).

AFTER ACTION REPORT FORMAT TITLE 10-HA:

List Individual HA activities by country and project number. For both the mid-year and final reports, a separate summary page of the activities reported on shall be provided for each country in which activities were conducted. Such summaries shall include information requested below in sections A, D, G, and H for each activity conducted in a country. Format follows:

Title of Activity:

Recipient Country:

Location of Project:

Type and Description of Project:

Inclusive Dates of Project:

Unit Designation(s) and Number of U.S. Forces Participating:

U. S. Dollar of Cost of Consumables:

Objectives Achieved:

1. How the HA activity served the interests of both the U.S. and host country.

2. How the HA activity promoted specific operational readiness and/or skills of members of the U.S. Armed Forces participating in the activity.

TSC Funding

f. BILATERAL OR REGIONAL COOPERATION PROGRAM.

PROJECT NOMINATION AND JUSTIFICATION FORMAT FOR TITLE 10-Bilat:

A separate summary of proposed activities shall be provided for each country for which activities are proposed. Information required is outlined below.

Priority Number:

Name of Conference or Seminar:

Recipient Country:

Where Conference or Seminar is to be Held:

U. S. Dollar Cost with Breakdown of Travel and Per Diem Expenses by Attendee:

Justification:

1. How attendance serves the interests of both the U.S. and host countries.

2. Certification by Unified Combatant Commander's legal staff that the activity conforms with statutory requirements and applicable regulations.

Point(s) of Contact: (Unified Combatant Commander's POC, with phone and facsimile numbers (DSN and commercial)).

TSC Funding

AFTER ACTION REPORT FORMAT TITLE 10-Bilat:

Name of Conference or Seminar:

Recipient Country:

Where Conference or Seminar was Held:

U.S. Dollar Cost with Breakdown of Travel and Per Diem Expenses
Incurred by each Attendee:

Justification: (How attendance served the interests of both the
U.S. and host country.)

TSC Funding

g. DEVELOPING CONTRIES COMBINED EXERCISE PROGRAM.

PROJECT NOMINATION AND JUSTIFICATION FORMAT TITLE 10-DCCEP:

Exercise Name:

Inclusive and Employment Dates:

Country Supported by Funds:

Exercise Location:

Funds by Category: (transportation, fuel, rations, training, ammunition, etc.)

Description of Exercise:

Objectives to be Enhanced by Title 10 Combined Exercise Funds:

Full Justification for Funds:

Forces: (both U.S. and all allies/friendly nations)

TSC Funding

SAMPLE SUCCESSFUL TITLE 10-DCCEP PROJECT:

Exercise Name: CARAT

Inclusive and Employment Dates: May - Aug 19XX

Country Supported by Funds: Philippines, Indonesia, Thailand, Malaysia, and Tonga

Exercise Location:

Funds by Category:	\$50,000
Transportation	\$ 000
Fuel	\$10,000
Rations	\$ 5,000
Training	\$ 000
Ammunition	\$35,000

Description of Exercise: CARAT (Cooperation Afloat Readiness and Training) is a series of annual bilateral naval and amphibious exercises scheduled by Commander Seventh Fleet and conducted with selected Asian countries in support of USCINCPAC's Cooperative Engagement Strategy. The exercises are conducted with each country in succession by a composite naval and amphibious task group. The CARAT cruise accomplished numerous bilateral training exercises at great economy by reducing overhead costs, including lift (TOP and TOT), TAD funding (by consolidating planning conferences), and personnel tempo (minimal deployment and redeployment phases).

Objectives to be Enhanced by Title 10 Combined Exercise Funds:

1. Improve capability to conduct bilateral amphibious operations and littoral warfare operations individually with units of the Philippine Marine Corps, Indonesian Marine Corps, Royal Thai Marine Corps, Royal Malaysian Army, and Kingdom of Tonga Defense Forces.
2. Develop combined procedures for amphibious operations with selected countries.
3. Provide U.S. Marines an opportunity to train in a variety of bilateral exercises in various and challenging environments.
4. Conduct humanitarian projects with selected countries.

TSC Funding

Full Justification for Funds:

A properly designed and well-executed CARAT exercise is a critical part of USCINCPAC's Cooperative Engagement Program. The goals of the ground and amphibious portions of CARAT can not be accomplished without the participation of the host nation forces. Participation by the host nation in CARAT imposes substantial incremental costs. This is especially true in view of the current economic crisis with many of these countries. Availability of Title 10 Section 2010 Funds to each developing country will ensure that those countries commit adequate resources (people, equipment, training locations and time) to maximize the training benefit to U.S. Marines Forces participating in CARAT. Lack of Title 10 support for any of the participating countries would result in a substantial reduction of host nation participation and possibly even cancellation of portions of the exercise.

Forces: U.S. and allies as outline below.

1. Special Purpose Marine Air Ground Task Force CARAT, consisting of a Reinforced Rifle Company, a Combat Service Support Detachment, and a Force Reconnaissance Detachment.

2. Company sized units of the Philippine Marine Corps, Indonesian Marine Corps, Royal Thai Marine Corps, Royal Malaysian Army and Kingdom of Tonga Defense Forces.

AFTER ACTION REPORT FORMAT TITLE 10-DCCEP:

(Country teams will provide a summary year-end report to HQ USCINCPAC POC by 30 November of each year on the activities carried out during the preceding fiscal year; with a copy to MARFORPAC, G8 R&P. Use the following format):

List of Activities Carried Out:

Type and Description of such Activities:

Amount Expended for each Activity:

Assessment of Benefits to U.S. Exercise Objectives:

(Note: HQ USCINCPAC will report by 31 December to JCS and OSD, all countries which received assistance from the Title 10 Combined Exercise Plan. By 1 March of each year, the Assistant Secretary of Defense must submit a report to Congress.)

1. Legal Consideration References

- (a) Foreign Assistance Act (FAA), 22 U.S.C. 2151
- (b) Arms Export Control Act (AECA), 22 U.S.C. 2751
- (c) DoD 5105.38-M
- (d) SECNAVINST 4950.4a

2. Purpose. The purpose is to familiarize III MEF Staff and MSCs with a variety of External Funding Programs (EFP), and responsibilities and requirements for submission, execution, and reporting.

3. Background. There are many restrictions and fiscal programs put in place to regulate U.S. interaction with foreign countries. The USG separates funds into different sources with restrictions placed on the use of those funds. The USG then selectively allows agencies access to those sources. For the purpose of military interaction, the two relevant agencies are DoS and DoD.

4. Funding Sources

a. Department of State. The Foreign Assistance Act of 1961 (FAA) provides restrictions and procedures for operating with friendly nations. The FAA consists of two pillars.

(1) The first is known as Development Assistance, and involves providing education, nutrition, agriculture, family planning, health care, environment, and other programs designed to alleviate root causes of political unrest and poverty in developing nations.

(2) The second pillar is the one in which military planners should be aware. It covers the provision of supplies, training, and equipment to friendly foreign militaries and is commonly referred to as Security Assistance (SA). A good example of SA is the "International Military Education and Training" (IMET) program. Generally, IMET is designed to provide "training" to foreign military personnel in the United States, in overseas U.S. military facilities (e.g., Okinawa), and in participating countries on a grant basis. Specifically, Congress has declared that the purpose of education and training conducted under IMET is: 1. "to encourage effective and mutually beneficial relations and increased understanding between the United States and foreign countries in furtherance of the goals of international peace and security;" 2. "to improve the ability of participating foreign countries to utilize their resources,

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including defense articles and defense services obtained by them from the United States, with maximum effectiveness, thereby contributing to greater self-reliance by such countries; and 3. "to increase the awareness of nationals of foreign countries participating in such activities of basic issues involving internationally recognized human rights."

(3) Funding for Security Assistance programs such as IMET described above, is appropriated by Congress annually to the State Department (DoS) in the Foreign Operations, Export Financing, and Related Programs Appropriations Act. This money is commonly referred to as "Title 22 money"- as in Title 22 of the U.S. Code. For planning purposes, *the Department of Defense may not use DoD money for activities in which Title 22 money is appropriated.* However, if the CG is interested in such an activity, nothing precludes him from forwarding the matter to DoS and asking that the MEF be granted appropriate Title 22 funds.

b. Department of Defense

(1) Funds appropriated to the DoD is "Title 10 money"- as in Title 10 of the U.S. Code. The most familiar use for Title 10 money is operation and maintenance, or O&M funds for day-to-day expenses of DoD components in garrison and during exercises, deployments, and military operations. Generally, III MEF may use O&M for all "necessary and incident" operational expenses; however, where a foreign military or government is involved in TSC and will receive some benefit, use of O&M funds may not be appropriate for all necessary costs. This arrangement would seem to restrict the DoD from interacting with foreign militaries to only combat related exercises where the partner nation pays for its own expenses and does not receive any benefit, i.e., training.

(2) The U.S. Military is a foreign policy tool and does have ready resources that can contribute to DoS programs. In order to maintain the necessary division between DoS and DoD while allowing each to perform complementary activities without creating a bureaucratic burden, the USG has allowed amounts of Title 10 funds to be used in specific types of activities in which Title 22 money is appropriated. Approval authority for the use of these Title 10 funds is Commander PACOM, who has the necessary resources (i.e., Country Teams, dirlauth with Embassies, etc.) to vet all proposals he receives from his components.

5. Constraints and Restraints

a. Training of foreign military forces may not be supported by Title 10 funds. Under the AECA (22 U.S.C. 2794.5), "training" is broadly defined as: "[F]ormal or informal instruction of foreign students in the U.S. or overseas by officers or employees of the U.S., contract technicians, or contractors (including instruction at civilian institutions), or by correspondence courses, technical, educational, or information publications and media of all kinds, training aid, orientation, training exercises, and military advice to foreign military units and forces."

b. Not all activity that appears to be training of foreign personnel is considered "Security Assistance." DoD may pay for some activities with foreign militaries by using the Title 10 funds provided to Commander PACOM for this purpose. A breakdown of every funding program available to III MEF is located in Enclosure 1.

c. Determining whether an activity with a foreign country is SA requires an examination of the particulars of each situation. The question which planners should always keep in mind is this: Is the *primary purpose* of the activity to train U.S. military personnel, such that any benefit to the foreign country is incidental and minor? (If the answer is "yes", in most instances the activity will not rise to the level of Security Assistance and therefore will not require funding by DoS under Title 22.)

6. Penalties. Making or authorizing an expenditure of Title 10 funds for Security Assistance training of foreign military forces subjects the authorizing official to criminal punishment under the Antideficiency Act, 31 U.S.C., §1341, et.seq. Once discovered, suspected violations are investigated by a team of experts (an individual with resource management experience, an attorney, and an individual with experience in the pertinent functional area) who report to the Under Secretary of Defense (Comptroller). Violators of the Act are subject to suspension from duty without pay and removal from office. Knowing and willful violators are subject to a \$5,000 fine and imprisonment for 2 years.

7. Planning Considerations

a. Due to the fiscal and legal issues inherent in

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operations and exercises with foreign nations, it is important to identify in advance (1) the primary purpose of any planned activities with a foreign military force and (2) the program(s) under which the activities may be conducted.

b. Planners must determine where the money must come from to pay for the exercise. A detailed description of available funding programs is located in Enclosure 1. Commander PACOM maintains the different categories of Title 10 funds, with the exception of O&M. (Generic command O&M funds may not be used to fund these activities, regardless of a planning "short fuse.")

c. Title 10 funds are appropriate for many theater security cooperation (TSC) opportunities. Articulating the purpose of an activity is critical in justifying Title 10 expenditures- just as it is critical in planning the activity's execution. (TEEP validations, such as the one conducted by the G-3 on 6 Jan 03, are good staff forums for revisiting scheduled future ops with foreign militaries and refining III MEF requirements.)

d. Correctly labeling the category of activities for funding purposes is important. For Example: A scheduled "interoperability exchange" is analogous to a military-to-military contact under 10 USC §168 and can be funded with O&M. (In addition the GAO has specifically stated that "interoperability" is not Security Assistance.) But what about a "subject matter expert exchange" (or "SMEE", as it appears on message traffic and the TEEP) for "improvised explosive devices" (IED) or paradrop/air delivery ops? The key word is "exchange." If we are the "experts" on the subject matter, and are providing that expertise to a foreign country, it appears to be "training" for that foreign country or providing Security Assistance. If that country is providing something to U.S Forces in return, that demonstrates that they are also "experts" and it becomes an "exchange," which is within legal constraints. Planners must be able to articulate the precise value of the exchange for U.S. Forces.

8. Conclusion

a. Unless directed and funded by DoS, using Title 22 funds, activities with foreign countries must not involve SA. Key in planning for such activities with foreign countries is identifying the intended purpose and ensuring it fits within the realm of Title 10- and is thereby not SA. Requests for funding under the relevant statutory program must then be submitted to

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HQ, PACOM by the G-5 according to established timelines, or in an out of cycle request.

b. When queried by foreign government representatives about III MEF providing some type of training or assistance, always keep in mind that the approval authority for SA activities is the DoS. For the Title 10 (DoD) statutory programs described in this paper, there are significant planning cycles before funds can be obtained. Without some research, suggesting to a foreign official that the MEF can conduct an activity may lead to future embarrassment. The best practice is to diplomatically take any such requests for staff consideration to assess what is involved and follow up as appropriate.

TSC Event Request Form (TERF)

1. DATE SUBMITTED:
2. INCLUSIVE DATES OF ACTIVITY:
3. LOCATION OF EVENT:
4. DESCRIPTIVE TITLE OF ACTIVITY:
5. TYPE, DESCRIPTION, AND GOALS OF EVENT:
6. PARTICIPANTS; BRANCH AND UNIT (e.g. 1ST BN 2ND ROK Marines):
7. CARGO REQUIREMENTS INCLUDING WEAPONS:
8. UNIT DESIGNATION AND TOTAL NUMBER OF US FORCES PARTICIPATING:
9. ESTIMATED U.S. DOLLAR COST:
 - A. EXERCISE COST:
 - B. CONFERENCE COST:
10. LIFT REQUIREMENTS, ESTIMATED:
11. PACOM SUPPORTED ENDSTATES AND OBJECTIVES:
12. TSCMIS ENTRY DATE: ENTERED BY:

TSC Event Request Form (TERF)

13. POC (Initiator):

14. G-5 ACTIONS

POC (G-5):

MARFORPAC NOTIFIED ON: DATE:

BY:

COORDINATING INSTRUCTIONS:

15. G-3 ACTIONS

POC (G-3):

COORDINATING INSTRUCTIONS:

16. POC (OTHER):

COORDINATING INSTRUCTIONS (OTHER):

Transition Plan: TSC Event Generation And Processing

STAGE	ACTION BY	ACTIONS REQUIRED
Event Generation	MSC, Staff sections	Initiating action/unit plans based on higher headquarters request by outside agency, training needs of this unit or commander directed. Tools: III MEF TSC Force order with enclosures and III MEF Force Bulletin.
Event Submission	By MSC, Staff Section or initiator to G-5	Submit information via the TERF, ideally one to three years in advance of planned execution.
Initial Analysis	G-5	G-5 determines if event meets TSC goals, end states, and objectives for proposed country as well as legal, and fiscal criteria. G-5, consulting with MARFORPAC and SME, recommends funding source to G-3. G-5 Conducts coordination with higher HQ and/or DOA of proposed country. G-5 staff TERF to the G-3 as required.
Feasibility Staffing:	G-5, G-3, designated staff and SME	Staffing through appropriate staff and subject matter experts as required to determine viability of event and appropriate funding source. Funding: External and/or internal funding source recommended by G-5 to G-3. If event is determined feasible, G-3 makes determination for and request of funds. External funding is requested via G-5 who ranks all submissions and passes to MARFORPAC G-5. For in-cycle events, this is conducted at least one year in advance at the bi-annual Funding Board convened by the G-5 in October of each year before funding data calls are received from MARFORPAC, and in June when funding notification are received from MARFORPAC G-5.
TRANSITION FROM G-5 TO G-3 FOPS	G-5 to G-3	Six months to one year before event. Once funding has been approved or funding approval is reasonably expected, event is transitioned from the G-5 to the G-3. The g-5 supports the G-3 and executing unit with liaison to and coordination with DOA and MARFORPAC G-5.
Event Assignment	G-3	The G-3 determines appropriate unit/section to execute the proposed event. G-3 then assigns the event to a unit or section for execution.
Event Planning	Executing unit	Initiating or assigned unit plans event, requests external resources and skill sets needed.
Entry into TSCMIS	Executing unit	Once determined feasible, executing unit directed to enter the event into TSCMIS G-3. G-5 maintains oversight on TSCMIS for III MEF.
TRANSITION to G-3 COPS	G-3 FOPS to G-3 COPS	When appropriate, G-3 FOPS transitions the event to G-3 COPS.
Execution	Executing unit	Executing unit coordinates and executes event.